



10-01-01

NSECTOR #3

Express Mail No.: EL 451 481 343 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Robert J. Small, et al.

Application No.: 09/903,064

Group Art Unit: 1751

Filed: July 10, 2001

Examiner: Not yet assigned

For: COMPOSITIONS FOR CLEANING ORGANIC AND PLASMA ETCHED RESIDUES FOR SEMICONDUCTOR DEVICES Attorney Docket No.: 8317-0091-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**BOX: MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. §1.53(b), mailed August 27, 2001, in connection with the above-identified application, submitted herewith is are the following:

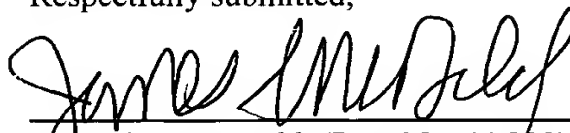
1. Declaration and Power of Attorney executed by the inventor Douglas Holmes;
2. Part 2 - Notice to File Missing Parts of Nonprovisional Application;
3. Recordation Form Cover Sheet (in duplicate);
4. Assignment to EKC Technology, Inc.; and
5. Power of Attorney by Assignee and Exclusion of Inventor(s) under 37 C.F.R. 3.71.

Please charge the surcharge required under 37 C.F.R. §1.16(e), in the amount of \$130.00 to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

The documents submitted complete the filing of the above patent application  
and justify the filing date of July 10, 2001.

Respectfully submitted,

Date September 27, 2001



James S. McDonald (Reg. No. 44,229)  
For Victor N. Balancia (Reg. No. 31,231)

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Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/903,064 ✓	07/10/2001 ✓	Robert J. Small ✓	8317-0091-999 ✓

CONFIRMATION NO. 9378 ✓

24341

Pennie & Edmonds, LLP  
3300 Hillview Avenue  
Palo Alto, CA 94304

## FORMALITIES LETTER



\*OC00000006473791\*

Oath/Decl. & Fees Due: 10/27/01 (JR)  
Oath/Decl. & Fees Final: 2/27/02

Date Mailed: 08/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Douglas Holmes*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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